### CERTIFICATE OF EXPRESS MAIL (37 CFR 1.10) Label No. EJ939592799US.

I hereby certify that the attached papers or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date shown below in an envelope addressed to: Box Patent Application, Assistant Commissioner For Patents, Washington, D.C. 20231.

Jan 12, 2001
(Date)

Mary Rutkowski (Printed Name)

(Signature)

Docket No. SEE 36525/US/N3

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION OF: Willem F. Broekaert et al.

SERIAL NO.: Not Assigned

**GROUP ART UNIT: Not Assigned** 

FILED: Not Assigned

**EXAMINER:** Not Assigned

FOR: Biocidal Proteins

Assistant Commissioner For Patents Washington, D.C. 20231

Sir:

# INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

- (XX) In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above-identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required.
- ( ) In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:



( )	Certification in Accordance with §1.97(e) is attached.
( )	Please charge the fee of \$240.00 as set forth in §1.17(p) to Deposit
	Account No. 09-0013.
_	ordance with \$1,07(d) this Information Disalogues Statement is being

- ( ) In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee, therefore included are:
  - ( ) Certification in Accordance with §1.97(e);
  - ( ) Petition Requesting Consideration of the Information Disclosure Statement;
  - ( ) Please charge the fee of \$130.00 as set forth in §1.17(i)(1) to Deposit Account No. 09-0013.
- ( ) Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- (XX) In accordance with §1.98(d), copies of some or all of the references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the Patent and Trademark Office in the parent applications for which a claim for priority under 35 U.S.C. §120 has been made in the instant application.

Please charge any deficiency or credit any overpayment to Deposit Account No. 09-0013. This form is submitted in duplicate.

Respectfully submitted,

Syngenta Crop Protection, Inc.

Dated: January 12, 2001

Liza D. Hohenschutz Attorney for Applicants

Attorney for Applicants
Registration No. 33,712
Telephone: 302/476-2088

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Form PTO-1449

## **INFORMATION DISCLOSURE CITATION BY APPLICANT**

		Sheet 1 of 1			
DOCKET NUMB	ER	APPLICATION NUMBER			
SEE 36525/U	S/N3	Not Assigned			
APPLICANT					
Willem F. Bro	ekaert et al.				
FILING DATE	EXAMINER	GROUP ART UNIT			
Not Assigned	Not Assigned	Not Assigned			

(Use several sheets if nec ssary)

U.S. PATENT DOCUMENTS

Examiner Initials	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
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#### **FOREIGN PATENT DOCUMENTS**

Document Number	Date	Country	Class	Subclass	Translation	
				<u> </u>	Yes	No
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 9011770	10/90	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if

in conformance and not considered. Include copy of this form with next communication to applicant. Revised 1/7/99

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